

1 **Title**
 2 A Compact Nanogrid for Home Applications with a Behaviour-Tree-Based Central Controller
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37 **HIGHLIGHTS**

38 A compact nanogrid for home applications which eases the installation is proposed.
 39 A number of power electronic converters are grouped together; the electric wires connect the grouped converters to the
 40 distributed peripherals: generators, storage systems, loads.
 41 A central controller governs the nanogrid; it implements the continuous controllers of all the power converters and it optimizes
 42 both generation and demand, implementing a decisional process based on behavioural rules.
 43 A behaviour tree models the behavioural rules and serves the discrete controller to decide the operation point of the nanogrid.
 44 A single-phase 1kW prototype of the proposed nanogrid is presented and subject to: black start, utility failure, utility restore
 45 and over generation.
 46

47

ABSTRACT

48 This paper proposes a nanogrid for home applications. Compactness, rapid installation and minor changes to the existing
49 equipment are among the basic concepts behind the proposed nanogrid. With this in mind, the authors designed the proposed
50 nanogrid as a compact object. To this aim, the power electronic converters of a typical nanogrid are no longer distributed and
51 placed close to peripherals; they now are grouped together. The electric wires of the local power distribution system connect
52 the grouped converters to the distributed peripherals (e.g. photovoltaic panels, batteries storage systems, loads). As a benefit,
53 when the proposed nanogrid is installed between the meter and the switchboard of an existing dwelling, no significant changes
54 to local equipment, devices and electrical system are required.

55 A central controller governs the proposed nanogrid. Such a controller ensures the power balancing, implementing the
56 continuous controllers of all the power converters belonging to the proposed nanogrid. In addition, such a controller optimizes
57 generation and demand, implementing a decisional process based on behavioural rules.

58 In this paper, a behaviour tree models the behavioural rules. The behaviour tree serves the discrete controller to decide the
59 operation point of the nanogrid. The behaviour tree drives the central controller to pursue strategic targets so as to ensure
60 power supply continuity to critical loads, maximize the exploitation of renewable energy sources and minimize power flow at
61 the point of delivery.

62 This paper also illustrates a single-phase 1kW prototype of the proposed nanogrid; the prototype is subject to four tests and
63 conditions: black start, utility failure, utility restore and over generation. Besides the capability to pursue the strategic targets
64 mentioned above, the experimental results also demonstrate the proposed nanogrid's capability to adjust the current imported
65 from the utility grid, to change from grid-connected mode to stand-alone mode and vice versa, to compensate for a surge of
66 the DC bus voltage.

67 ***Index Terms*** — *Nanogrid, prosumages, behaviour tree, energetic communities, power cloud.*

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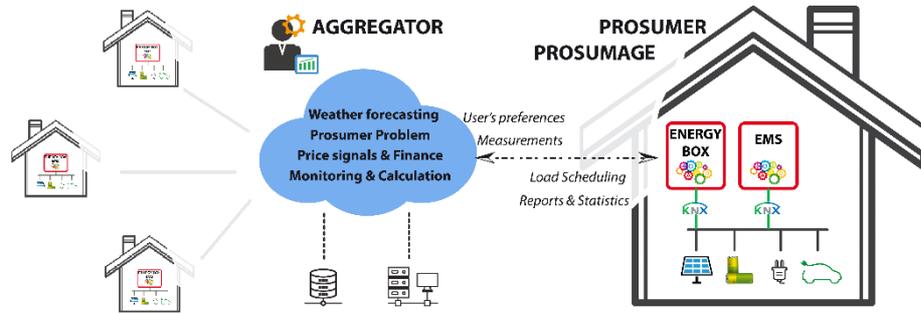
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1. INTRODUCTION

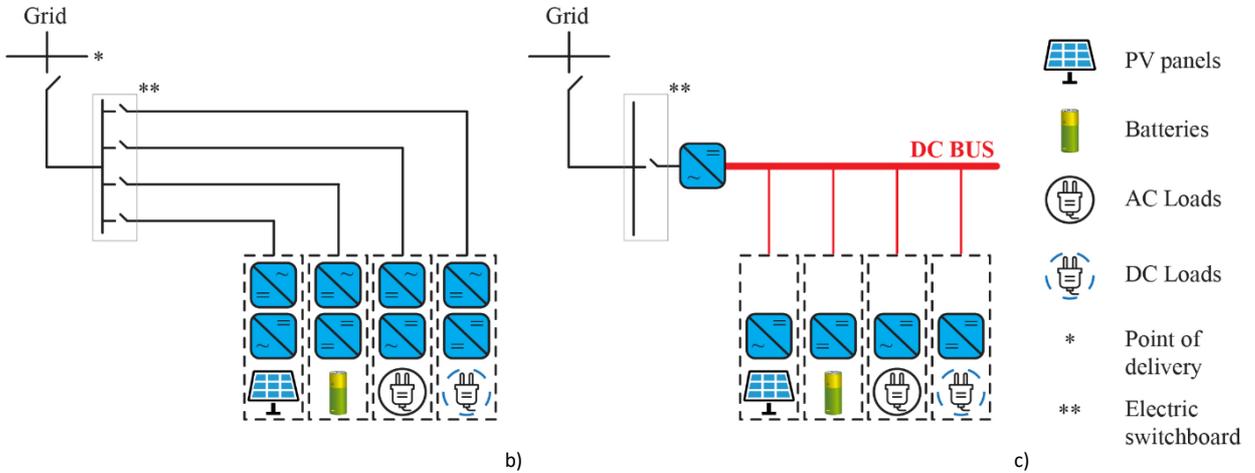
70 Consumers are changing; thanks to the distributed generation and then to distributed energy storage, the old consumers
71 have become prosumers and prosumages, respectively. These new consumers live in *zero-net-energy* buildings since the
72 consumed energy is totally self-produced and used in a rational and efficient manner. The connection to the utility grid, i.e.
73 the connection to the fundamental infrastructure of the 20th century, is *nice-to-have* since the new consumers depend on the
74 grid only for reliability or backup issues [1]. The turning of consumers into prosumers and prosumages is eroding electricity
75 utility revenues and also threatening the historical business model; the ramifications of these developments and their
76 implication for the power sector are examined in [2]

77 In this process of change, consumers cooperate with each other so that an individual commitment becomes an integral part
78 of a collective commitment. Indeed, consumers are members of energetic communities that belong to Integrated Community
79 Energy Systems [3]. The community coordinator, namely the aggregator, coordinates the consumers and helps them in
80 approaching the Demand Response, as in Fig. 1. The aggregator provides members with optimal loads scheduling [4], reports
81 and statistics, price signals and weather forecast services. The so-called Energy Cloud [5] and Power Cloud [6] are examples
82 of emerging platforms where advanced technologies and solutions serve new ways to generate and distribute electricity.

83



a)



c)

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Fig. 1 a) A modern home, b) an ac nanogrid, c) a dc nanogrid

86 Like consumers, even modern *zero-net-energy* homes are no longer isolated elements; rather, they communicate and
 87 interact with each other and with the world outside. Modern homes are also flexible and controllable; they are nanogrids [7].
 88 Nanogrids optimize the use of distributed generators, storage systems and electric loads; they also maximize the exploitation
 89 of renewable energy sources and self-consumption. The global nanogrid market is forecast to account for more than \$50.000
 90 in 2020 where the Middle East & Africa represents 44% of the vendor revenue whereas the Asia Pacific Region represents
 91 36%; the rest of the revenue is shared between Europe (14%), Latin America (10%) and North America (6%) [8].

92 Middle East and Africa represent the wider market because a nanogrid is not just an idea of a modern home; a nanogrid is
 93 also an innovative approach for the affordable and sustainable electricity [9] as well as a key to the socio-economic
 94 advancement of the remote and rural areas [10], especially where the utility grid is absent or it is weak. In fact, according to a
 95 top-down approach, bringing electricity to a village sited in remote and rural areas by extending the utility grid is often
 96 relevantly cost-intensive and complex [11]. The public investment is not a workable solution due to empty public coffers. The
 97 private investment and a public-private partnership are not a workable solution as well because the few inhabitants of the
 98 village do not represent an opportunity of revenues in proportion to the relevant capital investments required to extend the
 99 grid. As an alternative, the village may become a modern microgrid thanks to nanogrids. Indeed, nanogrids may interconnect
 100 with each other due to their modular nature and, consequently, create a microgrid in a bottom-up approach [12].

101 In light of the above-mentioned process of the change of consumers and their enthusiasm, it is now necessary to take a
 102 fundamental step of moving from concepts to items considering the promising outlook of the nanogrid market. In order to take
 103 this step, the application of energy research and the implementation of innovation offered by the scientific contributions in the
 104 literature can provide valuable help. In this respect, the present paper proposes a nanogrid, designed and implemented in a
 105 prototype form in order to provide an example of both application and implementation of energy research and innovation.
 106 More specifically, the intent of this paper is to provide a nanogrid for home applications that the end-user can easily adopt to
 107 modernize his home. Compactness and rapid installation are the basic concepts behind the proposed nanogrid; the application
 108 of these concepts allows for invasive and expensive changes to the household equipment, which could discourage the end-user
 109 and induce him to abandon the process of modernization, to be avoided. The intent of this paper is also to provide a robust

110 controller to the nanogrid; the controller is feasible for the optimal use of the energy resources and the proper management of
111 the energy produced by local distributed generators as well as the energy imported/exported from the utility grid. In addition
112 to being robust, the control is also suitable for evolving and reactive environments such as modern integrated energy systems
113 and communities.

114 1.1. *Related works*

115 In a basic form, a nanogrid is a single power domain with at least one load and at least one gateway to the outside; Nordman
116 states that storage systems are optional in a basic NG [12]. In advanced forms, an NG is a small and very simple microgrid, at
117 single-home or single-flat level, indicated for isolated or even grid-connected applications [8].

118 A well-known debate, still lively although it has been around for a long time, is about the distinction between ac nanogrid
119 (AC_NG) and dc nanogrid (DC_NG). In general, operating the internal electric distribution system in alternating current
120 returns an AC_NG. A conventional home transforms into a modern and intelligent AC_NG when all generation units, storage
121 units and consumption units are fitted with dedicated power electronic converters as shown in Fig. 1a; these converters adjust
122 the power flows to the value (namely the set point of the power converter) set by the home energy management system [13].
123 In general, operating the internal electric distribution system in direct current - instead of an alternating one - returns a DC_NG.
124 A single cable named *DC bus* may substitute for many dc lines thus returning the well know DC_NG shown in Fig. 1b. The
125 internal distribution system represents an autonomous infrastructure because it is separated from the one operated by the
126 electric utilities by a bidirectional AC-DC power converter [14]. The remaining converters of Fig. 1c simply *plug* into the dc
127 bus and *play* because they calculate the operating point themselves by detecting the dc bus voltage. Lastly, operating a part of
128 the internal electric distribution system in alternating current and the complementary part in direct current returns a hybrid
129 nanogrid where one AC bus and one DC bus are connected each other via a tie-converter. Such a converter regulates for the
130 power flow between the buses and controls their voltages when the NG is disconnected from the utility grid [15-17].

131 The widespread preference of DC_NGs compared to AC_NGs is mainly due to the fact that most domestic electric loads are
132 powered by low-voltage dc power. Ghai and Cetin, et al., have provided a fresh perspective on the problem of eliminating conversion
133 losses for uninterrupted operation of DC appliances in [18] and [19], respectively; analogously, Goikoetxea et al. have shown the
134 greater efficiency in feeding these loads using the direct voltage generated by dc distributed generators (DGs) instead of converting
135 this alternating voltage into a direct one [20]. In this regard, Lucia et al. have provided detailed design considerations for an induction
136 furnace, generalizable to any other type of appliance [21]. In addition, Wu et al. devised an optimization framework for efficient
137 energy management and components sizing of a nanogrid also taking into account a plug-in electric vehicle [22].

138 The bidirectional ac-dc converter commonly used to join the DC_NG to the utility grid is the subject of [23]; Ganesan et al. have
139 proposed a two-stage cascade converter, consisting of a dual active bridge converter plus a tree-phase inverter, to connect the 48V
140 dc bus to the 110V/60Hz utility grid. Wu et al. have proposed a similar converter to connect a 220V dc bus to the 110V/50Hz utility
141 grid in [24]; the authors have studied how to avoid the use of short-lifetime electrode capacitors and propose the use of an additional
142 *active ac storage*. Also Dong et al. have proposed a two-stage converter to connect the 380V dc bus to the 230V/50Hz utility
143 grid in [25-26]; two legs form a full bridge converter to interface the DC_NG to the grid utility whereas the remaining leg forms a
144 dc-dc converter to adjust the small dc bus voltage oscillations with fast dynamics.

145 A standalone NG is the subject of Schonberger et al. in [27]; the authors have included the load shedding functionality in the
146 control strategy so as to reduce the peak generation requirement and protect the NG from a complete collapse under overload
147 conditions. A very small standalone NG, with a single lead acid battery and a portable diesel generator, takes the form of a solar tent
148 in [28]. A switched boost inverter derived from Inverse Watkins Johnson topology is the core of a further standalone NG presented
149 by Adda et al. in [29].

150 Given that NGs show a propensity to interconnect with each other instead of staying isolated, Werth et al. have exploited
151 such a propensity in [30]. The interconnected DC_NGs return an Open Energy System as an alternative way of exchanging
152 intermittent energy between houses in a local community where each house is equipped with a DC_NG, including photovoltaic

153 panels and batteries. Also, Nordman has exploited the interconnection of DC_NGs in [31]; in the paper the interconnection
154 returns a building-wide microgrid with a dc local power distribution, based on a layered model of power called *Network Power*
155 *Integration*. In order to control the power flow between interconnected NGs, Morais et al. have presented in [32] an interlink
156 converter which joins two dc local grids operated at 48V and 380V, respectively.

157 Controlling an NG typically is a choice between a centralized and a decentralized control [33-35]. In a centralized control,
158 a single controller determines the status of the NG because it assigns the set points to all peripherals, i.e. generation units,
159 storage units and consumption units. Replacing a centralized controller with a decentralized controller allows, at least
160 theoretically, greater reliability because a set of multiple controllers provide a faster and more robust control of an NG
161 compared to a single controller. In DC_NGs, the well-known voltage droop technique enables an easy deployment of
162 decentralized control, without any wired or wireless communication systems between the controllers and the peripherals [33,
163 36]. The voltage droop technique allows power sharing between generation units but not their scheduling. This limitation is
164 incompatible with the need to assign dispatching priority to generators that exploit renewable sources [37]; therefore, the dc-
165 bus signalling (DBS) technique is often preferred to the voltage droop technique. According to the DBS technique, the power
166 converters connected to the dc bus operate in constant-voltage mode or constant-power mode depending on the voltage level
167 of the dc bus [38-39]. Qu et al. has proposed an improved DBS technique for DC_NG in [40]; the improvement consists of a
168 very easy plug & play connection of generation units and electrical loads. Improvement extends to a smooth transition between
169 grid-connected and stand-alone mode operations. An updated oversight of the distributed, hybrid distributed and hybrid central
170 controls for nanogrids is provided in [7].

171 1.2. *The contribution of the present paper*

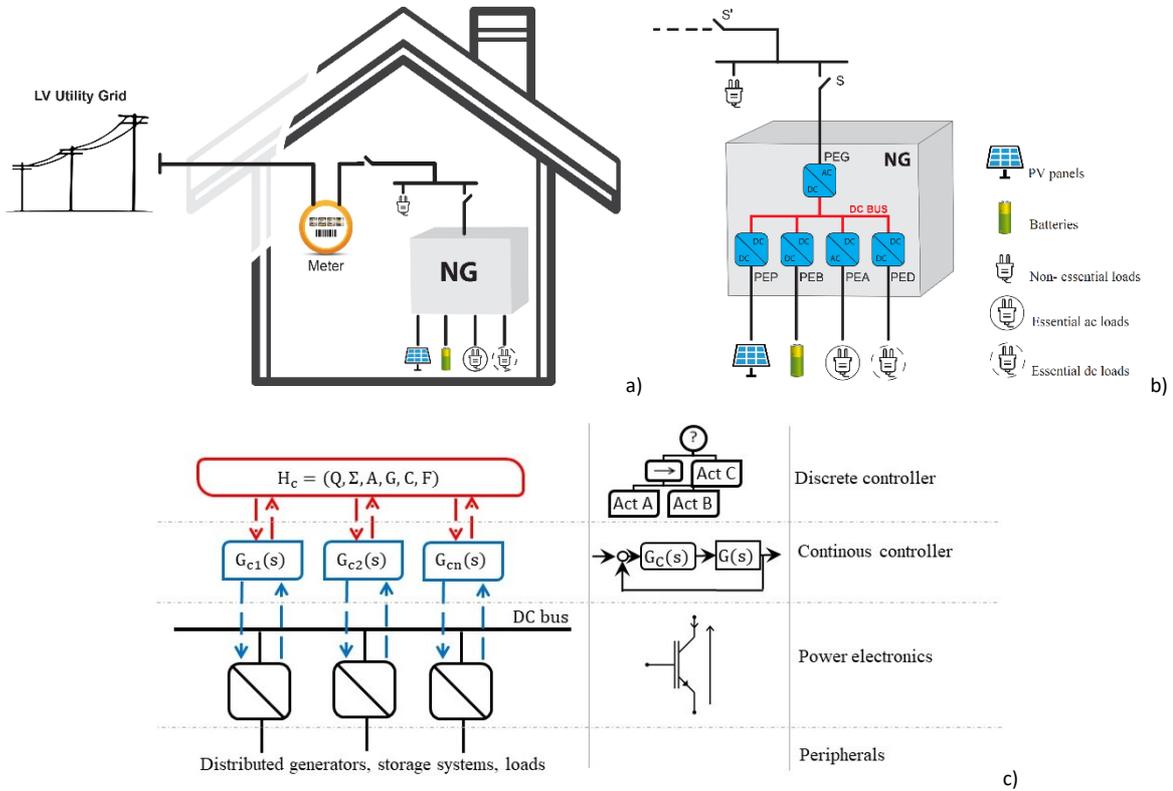
172 The intent of this paper is to apply the energy research and provide a contribution to knowledge by addressing the need of
173 an easy-to-install nanogrid for home applications. In this respect, the paper proposes a NG as an object that the end-user adopts
174 to modernise his home, also in remote and undeveloped area. Compactness, rapid installation and minor changes to the existing
175 equipment are basic concepts behind the proposed nanogrid; with this in mind, the authors designed the proposed NG as a
176 unique object. To this aim, the main power converters of a typical NG are no longer distributed and placed close to peripherals;
177 now they are grouped together. Therefore, the electric wires of the local power distribution system connect the grouped
178 converters to the distributed peripherals, e.g. photovoltaic panels, storage systems, loads. As a benefit, when the proposed NG
179 is installed between the meter and the switchboard of an existing dwelling, no significant changes to local equipment, devices
180 and electrical system are required.

181 This paper also provides a contribution to knowledge by addressing the need of a robust controller, suitable for evolving
182 and reactive environments, e.g. the integrated community energy systems. In this respect, the paper proposes a central
183 controller (NGCC) to govern the proposed NG; the NGCC is the intelligent agent that takes all decisions therefore it is both
184 the continuous controller and the discrete controller. As for the continuous controller, the NGCC ensures power balancing,
185 implementing the continuous controllers of all power converters belonging to the proposed NG. As for the discrete controller,
186 the NGCC optimizes both generation and demand, implementing a decisional process based on behavioural rules. To this aim,
187 the paper proposes a behaviour tree (BT) to model the behavioural rules. The BT serves the discrete controller to decide the
188 operation point of the NG. The BT drives the discrete controller to pursue strategic targets to ensure the continuity of power
189 supply to critical loads, maximize the exploitation of renewable energy sources and minimize the power flow at the point of
190 delivery, so contributing to optimize both generation and demand.

191 The paper also illustrates a single-phase 1kW prototype of the proposed NG. The laboratory results demonstrate the
192 capability of the proposed NG to pursue the strategic targets mentioned; in addition, the results prove the robustness of the NG
193 when subject to the following four tests and conditions: black start, utility failure, utility restore, over generation. The black
194 start test shows how the NG is turned on and demonstrates its capability to adjust the current imported from the utility grid.

195 The utility failure test demonstrates the robustness of the NG in the transition from grid-connected to islanded mode. Similarly,
 196 the utility restore test verifies the robustness in the opposite scenario, i.e. reconnection to the utility grid. Lastly, the over
 197 generation test demonstrates that the proposed NG is able to promptly export excess power to the utility grid so as to
 198 compensate for any surge of the dc bus voltage.

199 This paper is organized as follows. Section 2 discusses the structure of the proposed NG, the operational strategy and a
 200 description of each power converter belonging to the NG. Section 3 discusses the behavioural rules for NG management and
 201 the corresponding behaviour tree. Section 4 ends this paper and illustrates a 1kW single-phase prototype of the proposed NG
 202 and the laboratory tests: black start, utility failure, utility restore and over generation.



205
206 Fig. 2 a) A typical dwelling with the proposed nanogrid, b) the electric scheme; c) the layers for the central controller

207 2. THE PROPOSED NANOGRID AND THE CENTRAL CONTROLLER

208 Figure 2a illustrates a typical dwelling of a user, connected to the distribution utility grid. The user is a prosumer because
 209 his dwelling is mounted with PV panels and a battery storage system. Figure 2b illustrates the household equipment, devices
 210 and the electric system in more detail. The icon of a plug, placed on the left of the switch S, represents the non-essential loads
 211 belonging to the dwelling. These loads do not relate to any important tasks therefore, in case of utility failure, their operation
 212 is suddenly interrupted and such an interruption does not cause any loss or injury. In order to modernize the dwelling, the user
 213 can adopt a nanogrid.

214 On the basis of the related works reported in Section 1.1, adopting a NG may require changes on the entire dwelling and on
 215 a significant number of devices, such as the internal electric distribution system, the Wi-Fi and power line communication
 216 systems, home appliances, converters of distributed generators and storage systems if any, etc. Such changes can be so invasive
 217 and expensive to discourage the user and induce him to renounce to the modernization of his dwelling. Therefore, it is
 218 necessary a solution that allows the modernization of a dwelling, which is not invasive, which is easy to apply and requires
 219 few changes to existing systems and devices. The NG proposed in this paper is a feasible solution that possesses the
 220 characteristics just mentioned above; in addition, the authors define the proposed NG as "compact" in order to highlight that
 221 it is a single object with small size.

222 In Fig. 2b, the proposed NG is downstream of the switch S; the frame indicates that the power electronic converters are
223 grouped, close and connected to each other via a DC bus. The PEG of Fig. 2b is a bidirectional current-controlled voltage
224 source inverter; typically, it draws or injects a current in phase with the voltage detected at the ac terminals of the converter.
225 The PEG may also provide ancillary services, e.g. reactive power compensation and harmonic compensation. Furthermore,
226 the control of the PEG can be seamlessly transferred from current-controlled to voltage-controlled therefore the operation of
227 non-essential loads can be restored in the utility failure condition by opening the switch S'. The PEP converter is a conventional
228 DC-DC converter. It regulates the power provided by photovoltaic modules (PV), implementing one of the many algorithms
229 for tracking the maximum power point. The PEB is also a dc-dc converter. It regulates the charge and discharge of a battery
230 storage system; among the diverse storage technologies, batteries are preferred especially for their modularity. The PEB is
231 usually a buck-boost converter; as an alternative, it is a buck converter for the battery charge in parallel to a bypass diode for
232 the battery discharge. In high power applications where electrical insulation is required, the PEB can be a dual-active-bridge
233 converter with a high frequency isolation transformer. The PEA is a voltage-controlled voltage source inverter, which
234 guarantees power supply continuity and a high-quality power to essential ac loads. For instance, the PEA generates a
235 230V/50Hz alternating voltage for residential units in Europe, Russia and China or a 110V/60Hz alternating voltage in
236 Northern and Southern America. Similarly, the PED is a conventional dc-dc buck converter, which guarantees power supply
237 continuity and a high-quality power to essential dc loads. In order to improve the security level, a further power converter is
238 included among those described above. This additional converter is a chopper which supplies a breaking resistor via a 5kHz
239 square-waveform voltage; the duty cycle of the supply voltage is proportional to the local-generated power which cannot be
240 stored, consumed or exported to the utility grid, i.e. it must be dissipated.

241 2.1. The central controller

242 The operation of the proposed NG requires an intelligent agent, capable of making decisions to cope with a highly dynamic
243 demand and a highly dynamic generation, to ensure NG stability, to optimize its functioning. In the proposed NG, this agent
244 is the central controller (NGCC). First of all, the NGCC provides power balancing. For this purpose, the NGCC implements
245 the continuous controllers $G_i(s)$ of the power converters, illustrated in the continuous-controller layer of Fig. 2b. In addition,
246 the NGCC optimizes the generation and the demand so as to maximize the overall efficiency. The NGCC gives a priority when
247 dispatching the power generated by photovoltaic modules, it also minimizes the power flow at the delivery point (POD) and
248 charges the battery when an over-generation occurs. The NGCC ensures an uninterrupted supply to essential loads. For this
249 purpose, the NGCC implements the discrete controller $H_c(\cdot)$ of the power converters, illustrated in the discrete-controller layer
250 of Fig. 2b.

251 Given the above, the NGCC implements both the continuous and the discrete controller of the proposed NG.

252 At the programming level, the continuous controller and the discrete controller are low-level software codes on one or
253 more microprocessors. In the case of the prototype of the proposed NG illustrated in the last section of this paper, the NGCC
254 is a native C code, executed by a microprocessor.

255 3. THE BEHAVIOUR TREE FOR THE DISCRETE CONTROLLER OF THE PROPOSED NANOGRID

256 As in Section 2, the proposed NG has a central controller, the NGCC; such a controller implements the continuous
257 controllers of the power converters and the discrete controller of the NG. The discrete controller is a decisional process, used
258 to govern the NG and is based on behavioural rules. It decides the operation point of the overall NG because it calculates the
259 reference values and assigns them to the continuous controllers of the power converters.

260 Modelling the behavioural rules of the discrete controller in governing the NG is a demanding task. In general, the more
261 extensive the repertoire of behavioural rules owned by the discrete controller, the greater the intelligence and goodness of the
262 controller itself. As long as the NG remains an isolated element, with a small number of devices inside and a small number of
263 states and transitions between states, then the number of behavioral rules is restricted as well as the complexity of the software

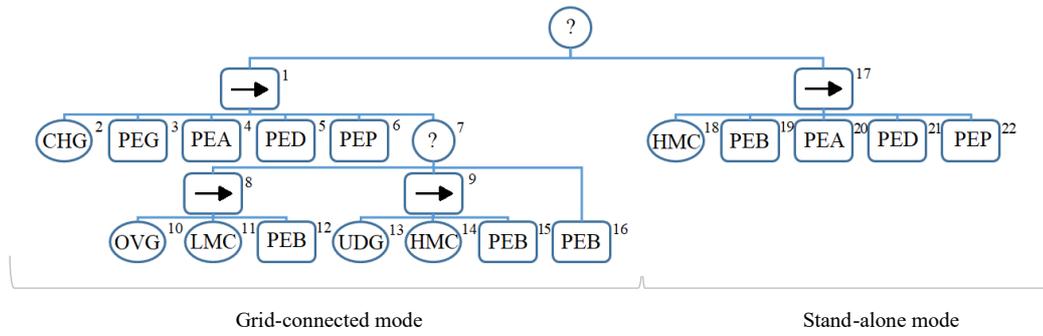
264 code that implements them. When the number of devices increases and, even more, when the NG opens to the external mode
265 and it interconnects and coordinates with other NGs, then the number of behavioral rules increases considerably. Similarly,
266 the number of states and transitions between them also increases considerably. At the same time, many functions and
267 procedures must be performed and managed in parallel. These various issues give back a picture of great complexity.

268 As shown in the next sections 3.1 and 3.2, the proposed BT combines elementary behaviors to achieve more sophisticated
269 behaviors (modularity) and to react to exogenous events (reactivity). In addition, the proposed BT is composed by sub-trees,
270 designed independently of each other. From the view point of implementation, the BT facilitates the handover from the
271 control/software engineers to the developing team. Indeed, the BT can be taken as reference to structure of the native C code,
272 executed by a microprocessor, as for the laboratory prototype illustrated in Section IV.

273 3.1. The behaviour tree

274 A formal description defines a behaviour tree as a directed acyclic graph with nodes and edges; the outgoing node of a
275 connected pair is the *parent*, and the incoming node is the *child*. The unique parent-less node, namely the *Root*, periodically
276 generates a tick; a tick is an enabling signal, which is propagated through the BT by non-leaf nodes to leaf ones. The *Root*
277 typically generates ticks at a constant frequency, f_{tick} . When the tick reaches a leaf node, it executes an action or a condition.
278 An action-type leaf node can change the system configuration, returning one of three values: *Success*, *Failure* or *Running*.
279 The first two values are self-explanatory, running means that the node has not yet finished executing. A condition-type leaf
280 node cannot change the system configuration, returning one of two values: *Success* or *Failure*. The BT designed by the authors
281 and described in this section serves the NGCC to implement the discrete controller of the proposed NG shown in Fig. 2. The
282 discrete controller enables the operation of power converters, and calculates and provides continuous controllers for the
283 reference values. For instance, adjusting the dc bus voltage to a constant reference value is a key factor for the correct and safe
284 operation of the NG and one of the power converters of Fig. 2 must be devoted to this scope; this converter is named *master*
285 *converter*. The PEG is the preferred candidate to operate as master converter when the NG is connected to the utility grid
286 because it regulates a bidirectional power flow and because, most probably, its rated power is greater than that of other
287 converters in the NG. Coherently, when the NG is grid-connected the BT drives the discrete controller to enable the PEG as a
288 master converter and, simultaneously, assign the reference value for the dc bus voltage, e.g. 417V to the PEG's continuous
289 controller. When the NG is islanded, the PEG cannot operate as master converter; in this case, the BT drives the discrete
290 controller to enable the PEB as a master converter and, simultaneously, assigns the reference value for the dc bus voltage, e.g.
291 360V to the PEB's continuous controller.

292 The BT designed by the authors is illustrated in Fig. 3; it has also been implemented in the microcontroller of the 1kW
293 prototype of the proposed NG, described in the last section of this paper. Two frames divide the BT into two sub-trees; the
294 sub-trees on the left and right side regulate the operation of the NG when grid-connected and islanded, respectively. These
295 sub-trees are illustrated with details in sections III.A and III.B; such an illustration is presented as a *dialogue* between the BT,
296 the microcontroller and power converters belonging to the NG. In order to facilitate understanding, a preliminary explanation
297 of BTs is provided. The node 0 of the BT, namely *Root*, generates a *tick* every millisecond; the tick propagates to *leaf* nodes
298 and the *Root* expects an answer from them. As a general rule, when a *parent* node has many *child* nodes, the tick generated by
299 the parent is first delivered to the child farthest to the left. With reference to Fig. 3, the tick generated by *Root* is first delivered
300 to node 1 and later to node 16 (if necessary). Node 1 is a *sequence-type* node therefore it receives and propagates a tick to *son*
301 nodes. As long as all *children* return *Success* (or *Running*) to node 1, the sub-tree on the left side regulates the functioning of
302 the NG in grid-connected mode. As soon as one of these nodes returns *Failure*, the tick goes to node 16 and the NG operates
303 in islanded mode.



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Fig. 3. The proposed behaviour tree

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3.2. The sub-tree for the grid-connected mode

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Node 1 propagates the tick to *condition-type* node 2; such a node verifies the CHG condition or utility failure. To attain this objective, node 2 asks the microcontroller (uC) for the rms value and the frequency of the voltage detected at the terminals of switch S. Then node 2 checks if the values received from the uC are in the operating range. If node 2 returns *Failure* then node 1 returns Failure as well, the tick goes to node 16 and the NG starts operating in islanded-mode. If node 2 returns *Success* then the tick goes to node 3. Node 3 is an *action-type* node, therefore it can change the state of the NG. Node 3 commands to close switch S, if opened, and start the PEG in master converter mode. In addition, node 3 assigns the reference value for the dc bus voltage to the Peg's continuous controller; then, the continuous controller adjusts the ac current at switch S to ensure that the dc bus voltage is close to the reference value provided by node 3. Nodes 4 and 5 are action-type nodes, therefore both can change the state of the NG. Node 4 commands to start the PEA and assigns two values to the PEA's continuous controller: the rms value of the amplitude and the frequency of the sinusoidal voltage that the converter must ensure to its ac output terminals. Similarly, node 5 commands to start the PED and assigns the value of the dc voltage that the converter must ensure to its dc output terminals to the PED's continuous controller. Node 6 commands to start the PEP and requires the PEP's continuous controller to track the maximum power point; in addition, node 6 may ask the controller to apply an upper limit to the power flowing from the photovoltaic modules to the dc bus. Passing through the *selector-type* node 7 and node 8, the tick reaches node 10. Such a node invokes the uC and asks for the direction of the current at switch S. If a current is exported to the utility grid, then an over generation is in progress and the OVG condition is fulfilled. Accordingly, node 11 asks the uC the state of charge (SOC) of batteries. If the uC returns a value lower than the maximum charge, then the LMC condition is fulfilled and node 11 commands the PEB to recharge the batteries. It is worth noting that node 12 provides the PEB's continuous controller with the exported current; such an upper value limits the current which recharges the batteries. If a current is imported from the utility grid, then an under generation is in progress and the UDG condition of node 13 is fulfilled. Node 14 asks the uC the SOC of the batteries; then, this node compares the value returned by the uC compared to the minimum charge. If the SOC is higher than the minimum charge then the HMC condition is fulfilled and node 15 commands the PEB to discharge the batteries; in addition, node 15 provides the PEB's continuous controller with the imported current as such an upper value limits the discharge current of the batteries. When neither an over generation nor an under generation is in progress then the local generation equals the local demand; accordingly, the tick goes to node 16. This node commands the PEB to set the battery current to zero.

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3.3. The sub-tree for the stand-alone mode

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When the tick reaches node 17, the NG starts operating in islanded mode. Node 18 asks the uC for the SOC of the batteries and it compares the returned value compared to the minimum charge. If the SOC is greater than the minimum charge, then the condition HMC is fulfilled and node 19 commands to start the PEB in master converter mode. In addition, node 19 provides the PEB's continuous controller with the reference value of the dc bus voltage; then, the continuous controller adjusts the

340 battery current in order to maintain the dc bus voltage close to the reference value provided by node 19. Node 20 activates the
341 PEA and assigns two values to the PEA's continuous controller: the rms value of the amplitude and the frequency of the
342 sinusoidal voltage that the converter must ensure to its ac output terminals. Similarly, node 21 activates the PED and assigns
343 the reference value for the dc voltage that the converter must ensure to its output terminals to the PED's continuous controller.
344 Finally, node 22 commands to start the PEP. If the SOC is lower than the maximum charge, then node 22 asks the PEP's
345 continuous controller to track the maximum point power; otherwise, node 22 also asks the continuous controller to upper limit
346 the PEP output current to the input current of the PEA and PED.

347 4. THE PROTOTYPE OF THE PROPOSED NANOGRID AND THE LABORATORY TESTS

348 The authors built a 1kW single-phase prototype of the proposed NG presented in Section II; they also implemented the
349 behaviour tree presented in Section III. The laboratory setup is illustrated in Fig. 4 and includes the following main
350 components: a) a PV simulator, b) the prototype of the proposed NG, c) a set of batteries, d) a real-time controller, e) a load
351 simulator, f) two breaking resistors and g) an insulation transformer. Two personal computers and laboratory instruments
352 complete the setup. Specifications and details of these main components are reported in Table I.

353 The prototype of the proposed NG is also shown in Fig. 5. The main components of the NG are two printed circuit boards
354 for power and signal conditioning, one printed circuit board for a single microcontroller, one LCL filter, two LC filters, two
355 power hybrid integrated circuits. The overall dimension of the NG is 0.5m x 0.5m; specifications and details are reported in
356 Table II.

357 The four-layer printed circuit board (see label a) of Fig. 5 incorporates all the devices for power conditioning and the DC
358 bus. The white circles indicate the placement of eight 1.5mF capacitors mod. EPCOS B43584-A0228-M connected between
359 the positive and negative bars of the DC bus; they are mounted on the back of the printed circuit board (see label b). Two
360 three-phase IGBT power hybrid integrated circuits (see label c) are used to realize the power converters belonging to the NG.

361 The double-layer printed circuit board (see label d) of Fig. 5 incorporates all devices for the signal conditioning. The
362 development board ATMEL EVK1100 (see label e), mounted with an AVR32uc3a 32-bit microcontroller, generates the PWM
363 signals to drive the IGBTs with a 15 kHz-fixed switching frequency. The development board also executes calculations and
364 measurements. At this scope, isolation transformers for the measurements of AC voltages, hall-effect transducers for the
365 measurements of both AC and DC currents, resistive dividers and opto couplers are mounted on the printed circuit board (see
366 label f).

367 A 48-pins terminal block allows to measure voltages and currents in many points of the circuits (see label g). The two pairs
368 of terminals placed on the right side of the prototype are useful to supply AC loads and to connect the prototype to the battery
369 storage system (see label h and label i, respectively). One 12V-2Ah lead acid battery ensures an uninterruptable supply to the
370 circuits for signal conditioning and microcontroller for about 15-20 minutes (see label l) in case the 230V/12V-50Hz
371 transformer ceases to operate (see label m). The combination of an inductor and a capacitor returns a LC filter, useful to join
372 the prototype to the battery storage system (see label l). Similarly, the combination of two inductors and a capacitor returns a
373 LCL filter, useful to join the prototype to the utility grid (see label o). Lastly, the two terminals placed on the left side of the
374 prototype allow connecting a DC source such as a string of PV modules to the DC bus (see label p).

375 The electric scheme of the prototype of proposed nanogrid is reported in Fig. 6. A main switch S and an isolation transformer
376 T connects the prototype to the utility grid. The PEG is a bidirectional DC-AC converter, which joins the DC bus to the
377 transformer T and, in turn, to the utility grid. The PEA is a DC-AC converter, which joins the DC bus to a programmable
378 power supply, used to simulate a load; the PEA generates a pure 230V/50Hz sinusoidal voltage. The PEB is a bidirectional
379 DC-DC converter, which joins the DC bus to the series connection of lead acid batteries. A pair of diodes directly connect the
380 positive and negative terminals of the DC bus to a programmable power supply, used to simulate a PV plant.

381 The electric scheme of the prototype of proposed nanogrid is also reported in Fig. 7 in greater detail. The C_{DC} capacitor
382 placed in the center of the figure is connected between the positive bar and the negative bar of the DC bus, namely DCbus+

383 and DCbus-; two 3-leg power converters, identical to each other, are connected in parallel to the C_{DC} capacitor. These power
384 converters are 30A-600V IGBTs hybrid integrated circuits (ICs) produced by Infineon, mod. IRAM136-3063B. Two legs of
385 the ICs on the left side are used to form a full-bridge bidirectional AC-DC converter, namely the PEG, useful for regulating
386 the power flow between the NG and the utility grid. For this purpose, the $L_0C_1L_1$ filter provides a sinusoidal voltage to the
387 isolation transformer, namely T; the ratio of such a transformer is 1:1. The switch S, when opened, separates the NG and the
388 utility distribution network. The third leg of the ICs on the left side is used to form a half-bridge bidirectional DC-DC converter,
389 namely the PEB, useful for regulating the power flow between the NG and the batteries storage system; such a storage system
390 consists of the series connection of 30 lead acid 12V-7Ah batteries. The L_2C_2 filter is the output filter of the PEB and it is sized
391 up to 1kW.

392 The ICs on the right side of Fig. 7 is also used to realize multiple converters. In particular, the first two legs form a full-
393 bridge bidirectional AC-DC converter, namely the PEA, useful for connecting the NG to the programmable AC load, Chroma
394 mod. 63803. The inductor L_3 and the capacitor C_3 are the LC output filter of the PEA; their combination provides a 230V-
395 50Hz sinusoidal voltage. Under the normal operating condition of the NG, the IGBTs of the third leg of the IC are not switched;
396 they are forced opened. Indeed, this leg is used only in emergency conditions that is when the DC bus voltage tends to exceed
397 a safety threshold. In particular, when the DC bus voltage equals 430V, the IGBT placed at the top of the leg starts switching
398 and feeds the series connection of two 100 Ω -1000W breaking resistors with a square voltage. This square voltage has a 5kHz
399 frequency, the amplitude is regulated so that V_{DC} remains lower than 430V. The IGBT placed at the bottom of the leg stays
400 always opened.

401 A pair of diodes directly connect the positive bar and the negative bar of the DC bus to a programmable DC power supply,
402 Chroma mod. 62050H-600S. Such a power supply is used to simulate a 2kWp PV plant.

403 A set of current and voltage probes complete the scheme illustrated in Fig. 7. A pair of current/voltage probes beyond the
404 switch S so to monitor the direction and the magnitude of the power flow at the point of delivery. Similarly, a pair of probes
405 detect the voltage and the current at the point of common coupling between the NG and the batteries; a further pair is connected
406 between the NG and the load simulator. Lastly, a voltage probe detects the DC bus voltage. All probes are connected to a real-
407 time NI controller mod. cRIO-9024; samples are captured with a frequency of 55kHz.
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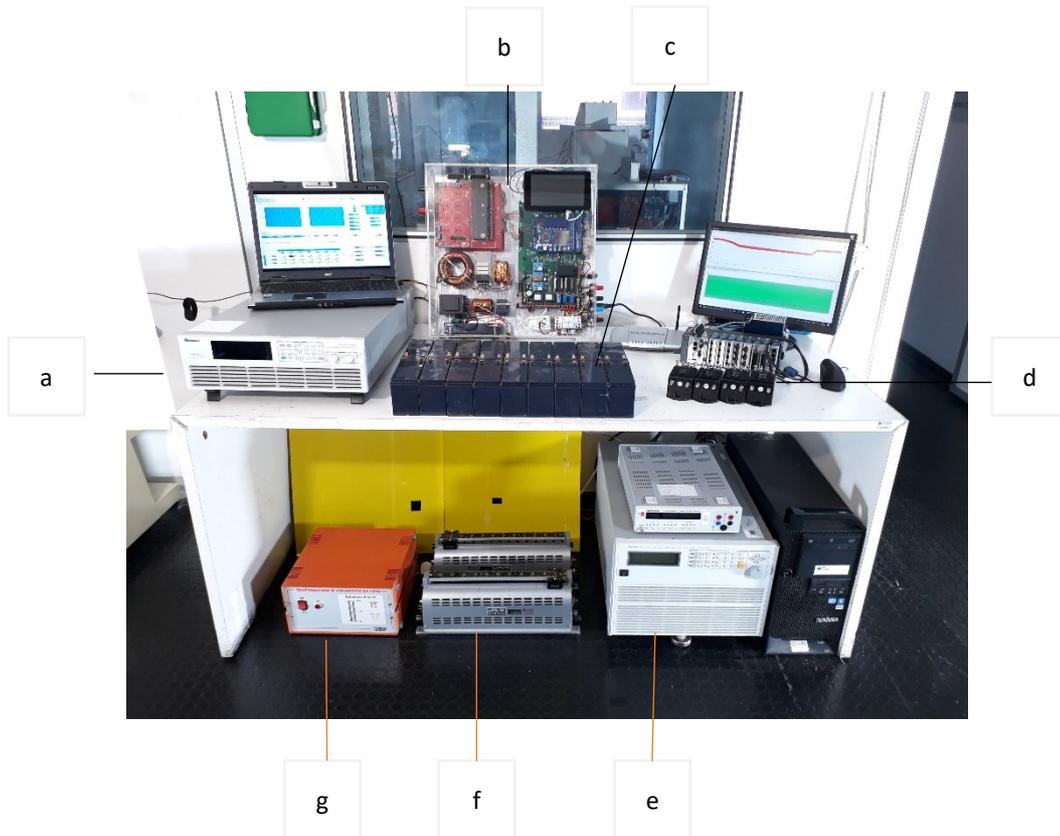


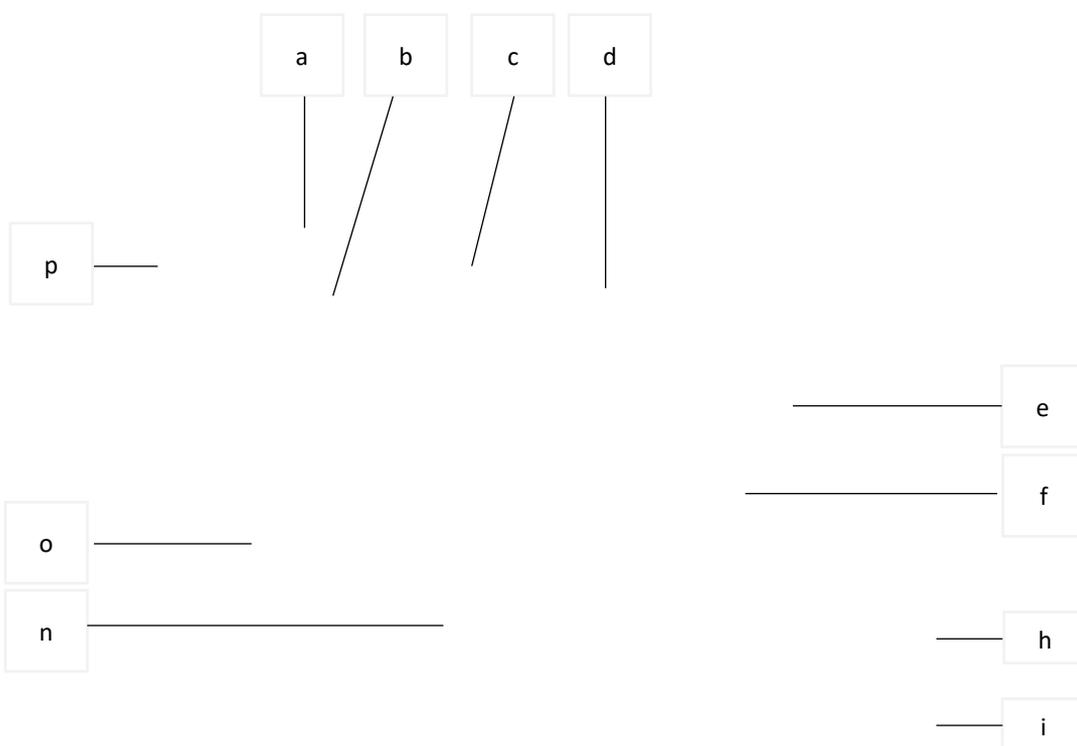
Fig. 4. The laboratory setup

Table I – Specification of the laboratory setup

a	PV simulator, Chroma mod. 6380362050H-600S
b	Proposed nanogrid, inside a 500x500mm enclosures
c	Batteries pack, 30 lead acid batteries FIAMM mod. 12FGH36, 12V 9Ah
d	Real time controller, NI mod. cRIO-9024, frequency sample 55kHz
e	Load simulator, Chroma mod. 63803
f	Breaking resistor, two 100Ω-1000W resistors
g	Insulation transformer, 1kVA, $V_n=230V$, 50Hz, ratio 1:1

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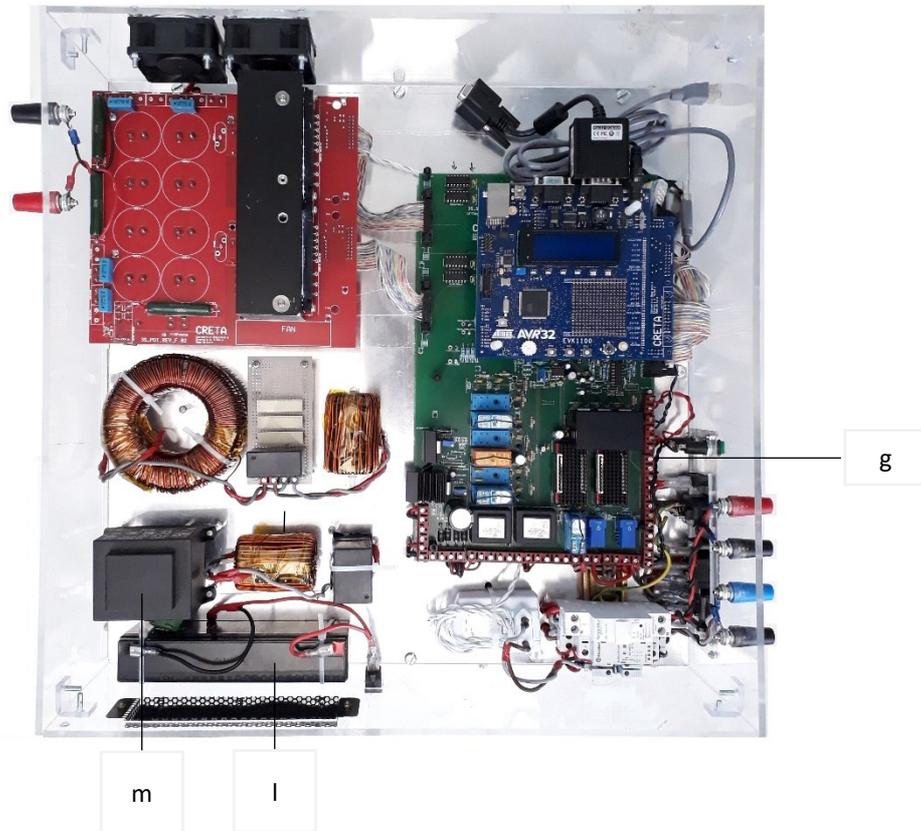


Fig. 5. The 1kW prototype of the proposed nanogrid

Table II – Specification of the proposed nanogrid

a	Printed circuit board for power conditioning, solder red, dimension 200x200mm, four layers, thickness 1.6mm
b	DC bus capacitors, eight 1.5mF capacitors mod. EPCOS B43584-A0228-M
c	Power hybrid integrated circuits, two 30A-600V IRAM136-3063B IGBTs power modules by IR
d	Printed circuit board for signal conditioning, solder green, dimension 320x200mm, two layers, thickness 1.6mm
e	Microcontroller, one ATMEL EVK1100 with AVR32uc3a 32-bit microcontroller
f	Auxiliaries for measurements, isolation transformers, hall-effect transducers, resistive dividers and opto couplers
g	DC terminals, positive and negative terminals to batteries
h	AC terminals, phase and neutral terminals to AC loads
i	48-pins terminal block for voltages and currents measurements in many points of the circuits
l	LC filter for the connection to the battery storage system, $L=2\text{mH}$ $C=25\mu\text{F}$
m	Lead acid batteries, Yamada mod. ELY2-12, 12V-2Ah
n	Transformer, HAHN mod. BVEI6651131, 230V/12V-50Hz
o	LCL filter for the connection to the utility grid, $L_1=2.3\text{mH}$ $L_2=0.7\text{mH}$ $C=10\mu\text{F}$

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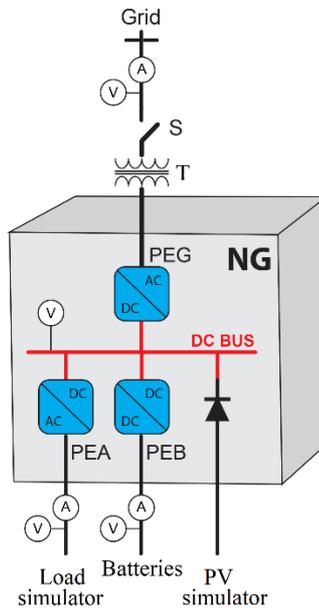


Fig. 6. The electric scheme of the prototype

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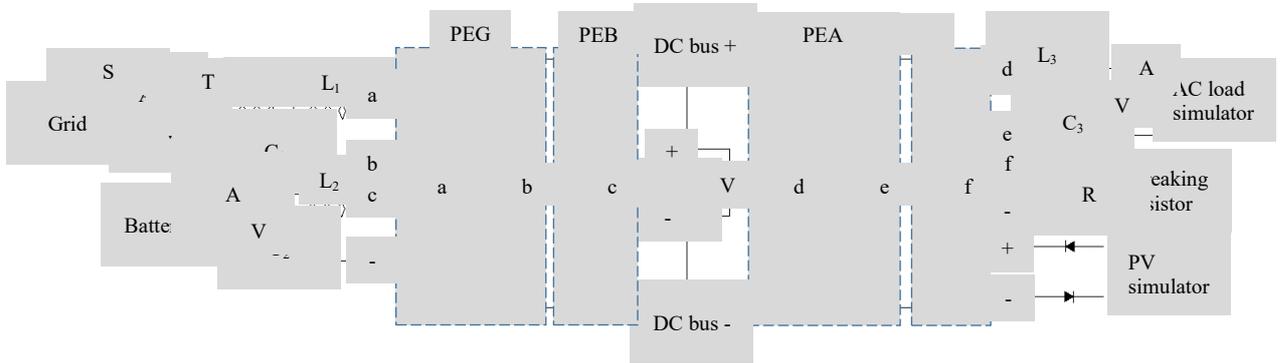


Fig. 7. The electric circuits of the prototype

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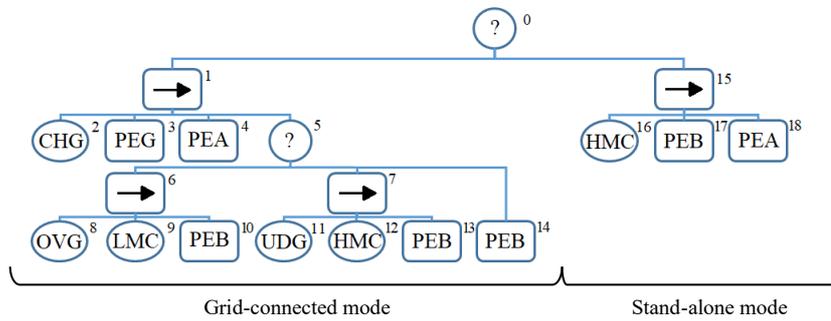


Fig. 8. The behavior tree

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442 4.1. The laboratory tests organization and the behavior tree

443 Laboratory tests are four and they are named black start, utility failure, utility restore and over generation. The organization
 444 of these four laboratory tests is summarized in Table II and described as follows. During the black start test, the NG is turned
 445 on, the PEG is the master converter and it imports a current from the grid utility to adjust the DC bus voltage to 417V, the
 446 PEA supplies AC load simulator (that simulates a 160Ω pure resistive load) and provides about 330W. During the utility
 447 failure test, the switch S at the point of delivery is manually opened, the PEB is the master converter and imports a current
 448 from the batteries to adjust the DC bus voltage to 360V, the PEA supplies the AC loads without interruption. During the utility
 449 restore test, the switch S is manually closed, the PEG is the master converter again and adjusts the DC bus voltage to 417V,
 450 the battery current tends to zero, no interruption occurs to AC loads. During the over generation test, the PV simulator generates
 451 more power than the load demand, the PEG exports power to the grid utility, then it starts charging the batteries and the
 452 exported power tends to zero.

453 Lastly, the Fig. 8 illustrates the behavior tree, which serves the central control to govern the NG during the laboratory
 454 tests.

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Table III- The laboratory test organization

Time interval	Black start			Utility failure			Utility restore		Over generation		
	T ₁	T ₂	T ₃	T ₄	T ₅	T ₆	T ₇	T ₈	T ₉	T ₁₀	T ₁₁
The nanogrid is turned ON, checking voltage and frequency at the switch S are within the operating ranges, the switch S is closed.	x										
Imported current increases V _{DC} from zero to 275V, this current goes through a pre-loading resistor and the diodes of the PEG converter.		x									
Imported current increases V _{DC} from 275V to 417V, then this current ensures V _{DC} equal to 417V, the battery current is zero.			x								
The switch S is opened, the imported current falls to zero, the battery current remains zero.				x							
The voltage V _{DC} decreases from 417V to 360V, the battery current remains zero.					x						
The voltage V _{DC} is equal to 360V, the battery current ensures V _{DC} equal to 360V.						x					
The switch S is closed, the imported current remains zero, the battery current maintains the voltage V _{DC} equal to 360V.							x				
The imported current increases V _{DC} to 417V, the battery current decreases to zero.								x			
The imported current maintains the voltage V _{DC} equal to 417V, the battery current is zero.									x		
The PV simulator imposes an over generation, the exported current maintains V _{DC} equal to 417V, the battery current is zero.										x	
The battery current increases so annul the exported current.											x

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459 4.2. Black start

460 The black start test comprises three time intervals or T₁, T₂ and T₃; this test is summarized in Fig. 9. The nanogrid is turned on
 461 and T₁ begins; the uC starts detecting the voltage at the switch S as shown in Fig. 9a and it calculates the RMS and frequency
 462 values. The Root of Fig. 8 generates the first tick. Node 2 requests the uC for the RMS and frequency values in order to verify
 463 the CHG condition. Since these values are in the operating ranges, node 2 returns *Success*. The time interval T₁ ends and T₂
 464 begins; the tick moves to node 3 and this node returns *Running*. Node 3 commands the switch S to close and activates the
 465 PEG. As the DC bus capacitors are fully discharged, during T₂ the uC opens the IGBTs of the PEG so that the current of Fig.
 466 9a is imported from the utility grid via the diodes placed in parallel to the IGBTs. The amplitude of the current of Fig. 9a is
 467 upper limited by a resistor placed in series to the circuit. The dc bus voltage increases as shown in Fig. 9b; when such a voltage
 468 equals 275V, the time interval T₂ ends and T₃ begins. The uC bypasses the resistor previously used to upper limit the imported
 469 current of Fig. 9b; moreover, the uC forces the IGBTs so that the imported current increases the dc bus voltage by 10 volts per
 470 second. When the DC bus voltage equals 417V, the time interval T₃ ends, node 3 returns *Success* and the black start test is

471 concluded. During T_1 , T_2 and T_3 the battery current is zero while the battery voltage equals 360V, i.e. the nominal value, as in
472 Fig. 9c.

473 4.3. Utility failure

474 The utility failure test comprises three time intervals or T_4 , T_5 and T_6 ; this test is summarized in Fig. 10. Figure 10a shows
475 the current imported from the utility grid during T_4 ; the current supplies the ac loads (330W) connected to the PEA and the
476 electronics circuits (150W) of the NG. The controller of the PEG adjusts the imported current so as to maintain the voltage of
477 the DC bus close to the reference value (417V) provided by node 3 of the BT. The instantaneous value of the bus voltage
478 oscillates in a fairly narrow range, i.e. $415V \div 419V$, as shown in Fig. 10b. During the T_4 interval, the dc power CHROMA
479 generates no power - PV generation is not available - therefore node 8 returns *Failure* because over generation does not apply.
480 Similarly, node 11 returns *Failure* because under generation does not apply. Then the tick necessarily goes to node 14; this
481 node provides a reference value for the battery current equal to 0A to the controller of the PEB, as in Fig. 10c. The switch S is
482 manually opened so as to simulate the utility failure and the time interval T_5 starts. Both voltage and current detected at the
483 switch S rapidly fall to zero as shown in Fig. 10a. The uC calculates the RMS and frequency values of the voltage at the switch
484 S and passes these values to node 2; the node verifies that these values are not included in the operating ranges and returns
485 *Failure* accordingly. The switch S automatically opens because it is a normally-open switch and node 3 does not force it to
486 close. The tick goes to node 16; it invokes the uC and successfully verifies that the state of charge of batteries is within the
487 range of operation. Node 17 activates the PEB in the master converter mode and assigns a reference value for the dc bus
488 voltage equal to 360V to the controller. The dc bus voltage is greater than 360V as shown in Fig. 10b, therefore the battery
489 current is regulated to 0A as shown in Fig. 10c; this current remains equal to zero while the dc bus voltage is higher or equal
490 to the reference value. After about 0.2 seconds, the dc bus voltage is 360V and T_5 ends. During the time interval T_6 , the batteries
491 deliver the current shown in Fig. 10c to adjust the DC bus voltage to 360V. As foreseeable, this current is the overlapping of
492 two components where the first is a continuous component whereas the second is a time-varying component. In particular, the
493 latter component has a sinusoidal waveform and oscillates at the frequency of 100Hz since the PEA is a 230V-50Hz single-
494 phase inverter.

495 4.4. Utility restore

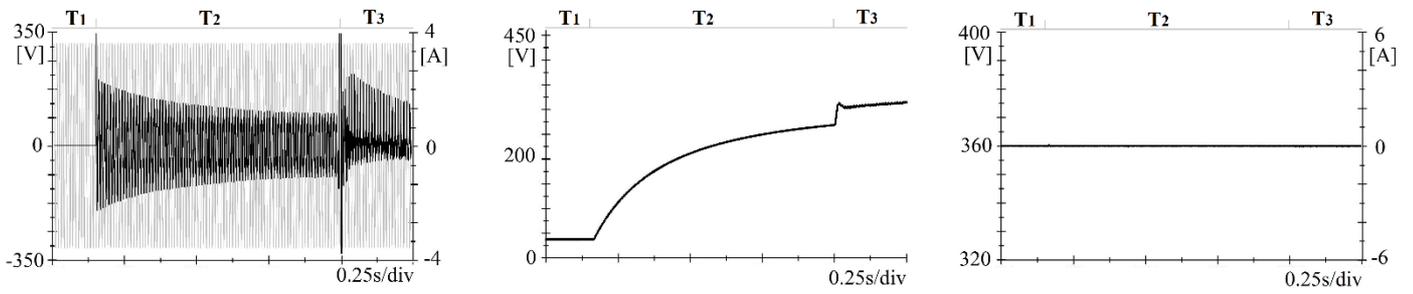
496 The utility restore test comprises two time intervals or T_7 and T_8 ; this test is summarized in Fig. 11. The utility restores
497 because the switch to the POD is manually closed; this action is the beginning of the time interval T_7 which lasts for 2.5
498 seconds. Every millisecond, node 2 invokes the uC and asks for the RMS/frequency values of the voltage detected at the switch
499 S to verify the CHG condition. Although the utility grid is restored, the uC deliberately answers node 2 that RMS/frequency
500 values are null. The authors regulated the length of the time interval T_7 so as to allow the uC to perform the calculation of
501 RMS/frequency values of the voltage at the switch S and, not least, to prevent premature re-connections to the utility grid.
502 Consequently, during the entire T_7 , the imported current is null as in Fig. 11a, the DC bus voltage is steady at 360V as in Fig.
503 11b, the current exported from the batteries coincides with that of the previous interval as in Fig. 11c. At the beginning of the
504 time interval T_8 , the amplitude of the imported current increases from 0 to 2A in 0.5 seconds; then the current maintains the
505 amplitude unchanged as in Fig. 11a. Consequently, the DC bus voltage increases by 1 volt per millisecond as in Fig. 11b while
506 the battery current rapidly decreases to zero as in Fig. 11c. Now, the NG operates in grid-connected mode again.

507 4.5. Over generation

508 The over generation test comprises three time intervals or T_9 , T_{10} and T_{11} ; this test is summarized in Figs. 12 and 13. During
509 T_9 , the utility grid fully contributes to supply the AC loads; in particular, the current detected at switch S is in Fig. 12a and it
510 corresponds to a power of 480W, as shown in Fig. 13a. A part of this current supplies the electronic circuits of the NG (about

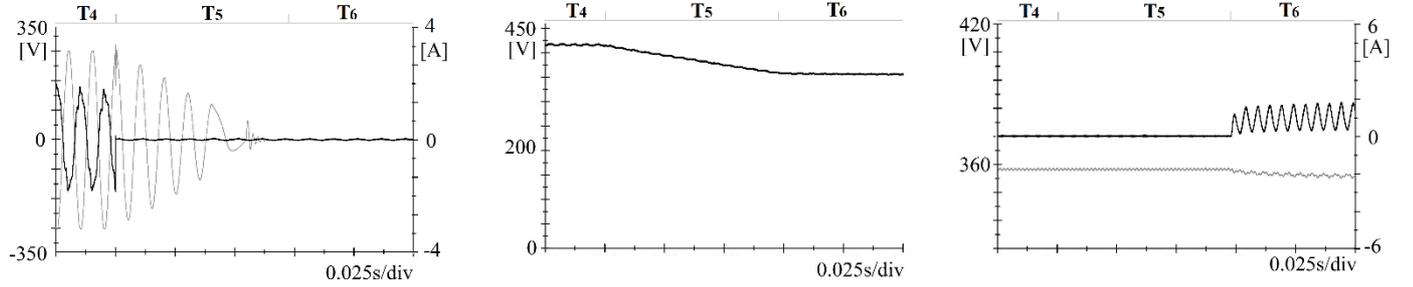
511 150W), the rest supplies the ac loads (about 330W) as in Fig. 13b. The DC bus voltage is stable to 417V while the battery
512 current is zero, as in Figs. 12b and 12c, respectively. At the beginning of T_{10} , the PV simulator suddenly generates 580W thus
513 causing an over generation; generated power flows directly into the DC bus given that a pair of diodes replaces the PEB. The
514 DC bus voltage has a surge as in Fig. 12b; the timely intervention of the PEG controller regulates the current at switch S as in
515 Fig. 12a and compensates the voltage surge within a second. Now, an exported current substitutes the previous one and a
516 power of about 100W flows from the NG to the grid utility, as shown in Fig. 13a. After 1.2 seconds the uC informs node 8
517 about the over-generation (the OVG condition is true) and the time interval T_{10} gives way to T_{11} . The uC also provides node 9
518 with the state of charge of the batteries and it provides node 11 with the RMS value of the exported current. The latter node
519 activates the PEB and provides the converter's controller with a reference value for the battery current. Such a value is
520 calculated so as to annul the power flow at the switch S, as in Fig. 13a. The over generated power recharges the batteries as
521 illustrated in Fig. 13c.

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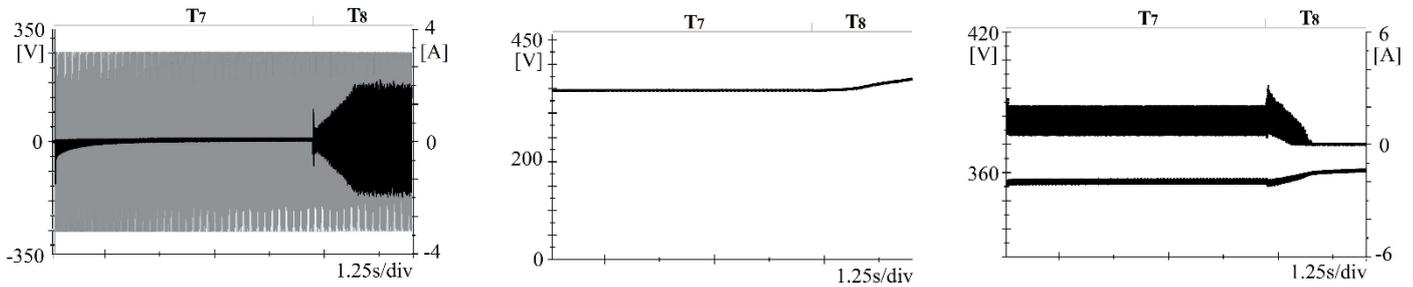
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Fig. 9 Black start test, a) voltage (grey) and current (black) at switch S, b) dc bus voltage, c) batteries voltage (grey) and current (black)



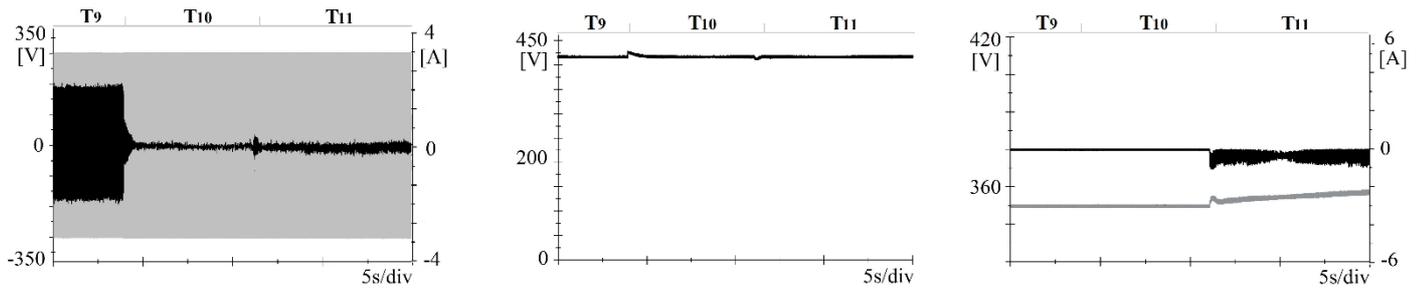
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Fig. 10 Grid failure test, a) voltage (grey) and current (black) at switch S, b) dc bus voltage, c) batteries voltage (grey) and current (black)



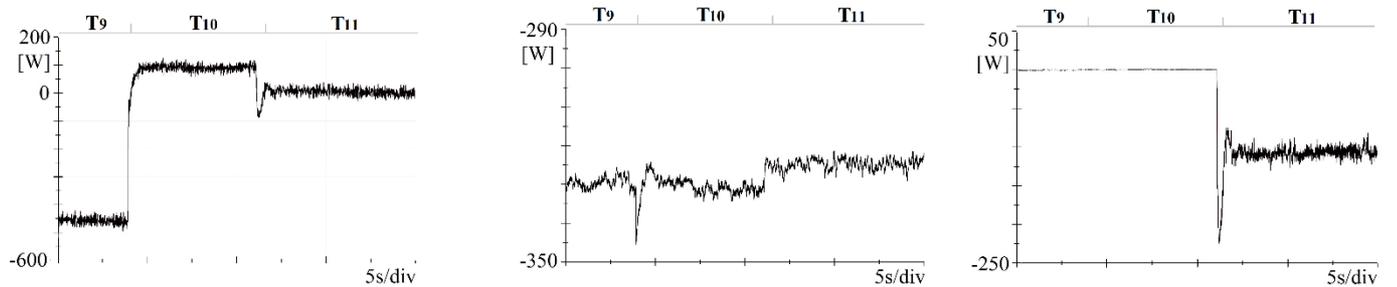
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Fig. 11 Utility restore test, a) voltage (grey) and current (black) at switch S, b) dc bus voltage, c) batteries voltage (grey) and current (black)



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Fig. 12 Over generation test, a) voltage (grey) and current (black) at switch S, b) DC bus voltage, c) batteries voltage (grey) and current (black)



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Fig. 13 Over generation test, a) power flow at the switch S, b) power flow to AC loads, c) power flow to the batteries

574 The paper proposed a nanogrid for home applications that the end-user adopts to modernise his home, also in remote and
575 undeveloped area. Compactness, rapid installation, minor changes for the existing equipment are among the basic concepts
576 behind the proposed nanogrid. With this in mind, the authors designed the proposed nanogrid as a compact and unique object.
577 To this aim, the main power converters of a typical nanogrid are no longer distributed and placed close to peripherals; on the
578 contrary, power converters are grouped together, very close to each other. The electric wires of the local power distribution
579 system connect the grouped converters to the distributed peripherals (e.g. photovoltaic modules, storage systems, loads,
580 electric vehicle). As a benefit, when the proposed nanogrid is installed between the meter and the switchboard of an existing
581 dwelling, no significant changes to local equipment, devices and electrical system are required.

582 The paper illustrated a central controller for the proposed nanogrid. The central controller is the intelligent agent that makes
583 decisions to cope with a highly dynamic demand and a highly dynamic generation, to ensure stability of the nanogrid and to optimize
584 its functioning. The central controller implements both the continuous and the discrete controllers of the proposed nanogrid. As for
585 the continuous controller, the central controller ensures power balancing, implementing the continuous controllers of the power
586 converters. As for the discrete controller, the central controller optimizes both generation and demand, implementing a decisional
587 process based on behavioural rules.

588 The paper illustrated a behaviour tree as a model for the behavioural rules. The behaviour tree serves the discrete controller
589 to decide the operation point of the nanogrid and to pursue strategic targets such as: ensuring the continuity of power supply
590 to critical loads, maximizing the exploitation of renewable energy sources, minimizing the power flow at the point of delivery.

591 The paper also illustrated a single-phase 1kW prototype of the proposed nanogrid. The laboratory results demonstrated that the
592 nanogrid allows pursuing of the strategic targets mentioned above. In addition, the results demonstrated the robustness of the nanogrid
593 was subject to the following four tests and conditions: black start, utility failure, utility restore, over generation. The black start test
594 showed how the nanogrid is turned on and demonstrated its capability to adjust the current imported from the utility grid. The utility
595 failure demonstrated the robustness of the nanogrid in the transition from grid-connected to islanded mode. Similarly, the utility
596 restore test verified the robustness in the opposite test, i.e. the reconnection to the utility grid. Lastly, the over generation demonstrated
597 that the proposed nanogrid is able to promptly export the excess of power to the utility grid to compensate for a surge of the dc bus
598 voltage.

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